



RESEARCH PAPERS

SLCG Working Papers - January 2007

- [Are Structured Products Suitable for Retail Investors? - Nov 2006](#)
- [An Overview of Equity-Indexed Annuities - Feb 2006](#)
- [McCann and Thomas on Annuities - June 2005](#)
- [Optimal Exercise of Employee Stock Options and Securities Arbitrations](#)
- [Concentrated Investments, Uncompensated Risk and Hedging Strategies](#)
- [The Use of Leveraged Investments to Diversify a Concentrated Position](#)
- [Detecting Personal Trading Abuses](#)
- [The Suitability of Exercise and Hold](#)
- [Churning - Revisited: Trading Cost and Control](#)
- [Churning - Brief Discussion](#)
- [Churning - 1999](#)
- [Bid-Ask Spread, Sales Credits and Brokers' Compensation](#)
- [McCann On Trading Models](#)
- [Securities Class Action Lawsuits](#)

Jan 2007

SLCG Working Papers

SLCG personnel have written extensively on litigation issues in the investment management industry. The individual papers are available below and have been collected into a single PDF file here for your convenience.

Download [PDF](#)